

COMMITTEE DOCUMENTS NOT INCLUDED IN THIS COMPENDIUM

In addition to the documents contained in this Compendium, the Basel Committee has prepared and circulated numerous other documents that are now effectively out of date and of historic interest only, or which have been superseded by subsequent texts. In addition, there are several texts (marked with an asterisk in the list below) which because of space constraints have been included in abbreviated form only. Selected requests for these texts by serious researchers should be addressed to the Committee's Secretariat in Basel.

December 1975	<i>Report to the Governors on the supervision of banks' foreign establishments (original Concordat)</i>
January 1979	<i>Consolidation of banks' balance sheets: aggregation of risk-bearing assets as a method of supervising bank solvency</i>
November 1979	<i>The supervision of country risk in bank lending</i>
November 1979	<i>The treatment of minority participations in the consolidation of banks' balance sheets</i>
December 1981	<i>Banking secrecy and international cooperation in banking supervision</i>
1982	<i>Supervisory systems in Canada, Japan, Sweden, Switzerland and United States¹</i>
February 1983	<i>The capital adequacy of banks operating internationally</i>
August 1987	<i>Document of understanding on practical aspects of international cooperation between banking supervisory authorities</i>
December 1987	<i>Proposals for international convergence of capital measurement and capital standards</i>
February 1991	<i>Proposals for the inclusion of general provisions/general loan-loss reserves in capital*</i>
November 1991	<i>Amendment of the Basle Capital Accord in respect of the inclusion of general provisions/general loan-loss reserves in capital*</i>
April 1993	<i>The supervisory recognition of netting for capital adequacy purposes</i>
April 1993	<i>The supervisory treatment of market risks</i>
April 1993	<i>Cover note for the April 1993 papers</i>

¹ These five descriptions of supervisory systems were prepared to supplement a publication by Kluwer Academic Publishers entitled *IBRO: The Regulation of Banks in the Member States of the EEC*. They are, of course, now very out of date.

July 1994	<i>Basel Capital Accord: the treatment of the credit risk associated with certain off-balance-sheet items</i>
December 1994	<i>Prudential supervision of banks' derivatives activities</i>
April 1995	<i>Proposal to issue a supplement to the Basel Capital Accord to cover market risks</i>
April 1995	<i>Planned supplement to the Capital Accord to incorporate market risks</i>
April 1995	<i>Basel Capital Accord: treatment of potential exposure for off-balance-sheet items</i>
July 1995	<i>The supervision of financial conglomerates (A report by the Tripartite Group of bank, securities and insurance regulators)</i>
May 1996	<i>Supervising global financial markets (submission to the G-7 Lyon Summit of June 1996)</i>
April 1997	<i>Strengthening banking supervision worldwide (submission to the G-7 Denver Summit of June 1997)</i>
September 1997	<i>The Year 2000: a challenge for financial institutions and bank supervisors</i>
June 1998	<i>Supervisory cooperation on Year 2000 cross-border issues</i>
September 1998	<i>Operational risk management</i>
January 1999	<i>Year 2000: the Supervisory Contingency Planning Process</i>
January 1999	<i>Banks' interactions with highly leveraged institutions</i>
February 1999	<i>Supervision of financial conglomerates (Joint report by the Basel Committee, IOSCO and the IAIS)</i>
April 1999	<i>Credit risk modelling: current practices and applications</i>
April 1999	<i>Working Paper 1: Capital requirements and bank behaviour: the impact of the Basel Accord</i>
June 1999	<i>Working Paper 2: Supervisory lessons to be drawn from the Asian crisis</i>
September 1999	<i>Performance of models-based capital charges for market risk over the period 1 July - 31 December 1998</i>
September 1999	<i>Gathering Year 2000 information from financial institutions: recommendations for supervisors</i>
September 1999	<i>Year 2000 cross-border communications between supervisors during the millennium period</i>
January 2000	<i>Range of practice in banks' internal ratings systems</i>
January 2000	<i>Industry views on credit risk mitigation</i>

January 2000 *Banks' interactions with highly leveraged institutions: implementation of the Basel Committee's sound practices paper*

DOCUMENTS CURRENTLY OUT FOR CONSULTATION

June 1999 *A new capital adequacy framework*
July 1999 *Supervisory guidance for managing settlement risk in foreign exchange transactions*
July 1999 *Best practices for credit risk disclosure*
July 1999 *Principles for the management of credit risk*
January 2000 *A new capital adequacy framework: pillar 3 - market discipline*

DOCUMENTS ISSUED IN ASSOCIATION WITH OUTSIDE BODIES

October 1979 *Disclosures in Financial Statements of banks (International Accounting Standards Committee)*
February 1980 *Proposed standard interbank confirmation request jointly with the International Federation of Accountants)*
February 1980 *Uniform Rules for Foreign Exchange Contracts (International Chamber of Commerce)*
February 1984 *Interbank Confirmation Procedures (International Statement issued by the International Federation of Accountants)*
February 1990 *The audit of international commercial banks (International Statement issued by the International Auditing Practices Committee after consultation with the Basel Committee)*
November 1995 *Public disclosure of the trading and derivatives activities of banks and securities firms* (jointly with IOSCO)*
November 1996,
November 1997,
and November 1998 *Annual surveys of disclosures about trading and derivatives activities of banks and securities firms (jointly with IOSCO)*
June 1998 *Supervisory Guidance on the Independent Assessment of Financial Institution Year 2000 Preparations (issued by the Joint Year 2000 Council)*