Level 1 assessments tracker: Self-reported responses for the **Principles** (as of January 2021)

		AR	AU	BE	BR	CA	CL	CN	EU	FR	DE	HK	IN	ID	IT	JP	KR	MX	NL	RU	SA	SG	ZA	ES	SE	CH	TR	GB	US
2013	PSs	1	4	1*	1	3	1	1	1**,***	1*	1*	4	1	-	1*	4	4	1	1*	3	1	4	1	1*	4/1	2	1	4	1
2014		1		4*	4	3	2	3	4**,***	4*	4*		4	1	4*			3	4*	3	4		4	4*	4/1	3	1		2
2015		1				3	2	3						1				3		4					4	4	4		4
2016		3				4	2	4						4				3											
2017		4					2											4											
2018							4																						
2019																													
2020																													
2021																													
2013	CSDs and SSSs	1	4	4#	1	3	1	1	2**	2#	2#	3/4	1/1	-	2#	4	2	1	2#	2	1	4	3	2#	2#	2	1	4	1
2014		3/1			4	3	1	3	2**	2#	2#	4/4	4	1	4		2	3	2#	2	1		3	2#	2#	3	1		2
2015		3/1				3	1	3	4**	4#	4#			1			4	3	4#	2	1		4	4#	4	4	4/1		2/3/4
2016		3/3				4	1	4						4/1				3		4	1						4/1		2/4
2017		3/4					1							4/1				4			1						4/4		3/4
2018		3/4					4							4/1							4								4
2019		3/4												4/2															
2020		3/4												4/4															
2021		3/4																											
2013	CCPs	1	4	4#	1	2	1	1	4**	4#	4#	3	1/1	-	4#	4	2	1	4#	2	NA	4	4	4#	4#	2	1	4#	1/2/4
2014		3			4	3	1	3				4	4	1			2	1		2	NA					3	1		1/2/4
2015		3				3	1	3						1			4	1		2	NA					4	1		2/3/4
2016		3				4	1	4						1				3		4	NA						1		2/4
2017		3					1							1				3			NA						4		3/4
2018		3					4							1				4			1								4
2019		3												2							1								
2020		3												4							4								
2021		3																											
2013	TRs	NA	2	4#	1	2	1	NA	4****	4#	4#	3	1	-	4#	4	1	1	4#	1	1	3	1	4#	4#	1	1	4#	1
2014		1	4		4	4	1	NA				4	4	NA			1	2		1	4	4	1			2	1		1
2015		3					1	NA						NA			1	3		1			1			2	1		1
2016		3					1	4						NA			1	3		4			2			4	1		1/3
2017		3					1							NA			1	3					2				4		1/3
2018		3					1							NA			1	4					2						1/4
2019		4					2							NA			4						4						1/4
2020							3							NA															1/4

2021							4							NA																1/4
		AR	AU	BE	BR	CA	CL	CN	EU	FR	DE	HK	IN	ID	IT	JP	KR	MX	NL	RU	SA	SG	ZA	ES	SE	CH	TR	GB	US

See EU * See EU/Euro area. ** As for Member States whose currency is the euro (the euro area), the Eurosystem has adopted the PFMIs as Eurosystem oversight standards and has a legal capacity to implement the Responsibilities.

*** As for the Principles and Responsibilities applying on payment systems, the ratings apply to the euro area. For Member States whose currency is not the euro, the rating is provided at the country level.

**** As for the euro area, the Eurosystem will contribute to the implementation of Responsibility

Level 1 assessments tracker: Self-reported responses for the **Responsibilities** (as of January 2021)

		AR	AU	BE	BR	CA	CL	CN	EU	FR	DE	HK	IN	ID	IT	JP	KR	MX	NL	RU	SA	SG	ZA	ES	SE	CH	TR	GB	US
2013	PSs	4	4	4*	4	4	4	4	4**,***	4*	4*	4	4	-	1*	4	4	4	4*	4	4	4	4	4*	4	4	4	4	4
2014														4	4*														
2015																													
2016																													
2017																													
2018																													
2019																													
2020																													
2021																													
2013	CSDs and SSSs	4	4	4	4	4	4	4	NA**	4	4	4	4	-	2#	4	4	4	4	4	4	4	4	4	4	4	4	4	4
2014									NA**					4	4														
2015									NA**																				
2016									NA**																				
2017									NA**																				
2018									NA**																				
2019									NA**																				
2020									NA**																				
2021									NA**																				
2013	CCPs	4	4	NA	4	4	4	4	NA**	4	4	4	4	-	4	4	4	4	4	4	NA	4	4	4	4	4	4	4	4
2014				NA					NA**					4							NA								
2015				NA					NA**												NA								
2016				NA					NA**												NA								
2017				NA					NA**												NA								
2018				NA					NA**												1								
2019				NA					NA**												1								
2020				NA					NA**												4								
2021				NA					NA**																				
2013	TRs	NA	4	NA#	4	4	1	NA	4****	NA#	NA#	4	4	-	NA#	4	1	1	NA#	4	4	4	1	NA#	NA#	1	4	NA#	4
2014		1					1	NA						NA			1	2					1			2			
2015		4					1	NA						NA			1	3					1			2			
2016							1	4						NA			1	4					2			4			
2017							1							NA			1						2						
2018							1							NA			1						2						
2019							2							NA			4						4						
2020							3							NA															

2021							4							NA																
		AR	AU	BE	BR	CA	CL	CN	EU	FR	DE	HK	IN	ID	IT	JP	KR	MX	NL	RU	SA	SG	ZA	ES	SE	CH	TR	GB	US	

See EU * See EU/Euro area. ** As for Member States whose currency is the euro (the euro area), the Eurosystem has adopted the PFMIs as Eurosystem oversight standards and has a legal capacity to implement the Responsibilities.
*** As for the Principles and Responsibilities applying on payment systems, the ratings apply to the euro area. For Member States whose currency is not the euro, the rating is provided at the country level.
**** As for the euro area, the Eurosystem will contribute to the implementation of Responsibility E.