

## Summary table of jurisdictional responses

Belgium Second update to Level 1 assessment report – June 2015

|           | Principles/<br>Responsibilities | FMI<br>Type   | Rating                | Status description / Next steps   | Links to the measures  |
|-----------|---------------------------------|---------------|-----------------------|---|--|
| Belgium   | Principles                      | CCPs          | (4, see EU)           |   |  |
|           |                                 | PSs           | (4, see EU/Euro area) |   |  |
|           |                                 | CSDs and SSSs | 4                     | National Bank of Belgium (NBB) published final new circular on 20 July 2012. The measure came into force on 20 July 2012. | NBB – Guidelines for the supervision and oversight of CSD/SSS<br><a href="http://www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf">www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf</a>  |
|           |                                 | TRs           | (4, see EU)           |   |  |
|           | Responsibilities                | CCPs          | NA                    | There is no existing CCP.   |  |
|           |                                 | PSs           | (4, see EU/Euro area) |   |  |
|           |                                 | CSDs and SSSs | 4                     | NBB and Financial Services and Markets Authority (FSMA) have a legal capacity to implement the Responsibilities.          | NBB – Organic Law of 22 February 1998<br><a href="http://www.nbb.be/doc/ts/enterprise/juridisch/E/organic_act.pdf">http://www.nbb.be/doc/ts/enterprise/juridisch/E/organic_act.pdf</a><br><br>FSMA – Law of 2 August 2002<br><a href="http://www.fsma.be/~media/Files/fsmfiles/wetgeving/wet_loi/en/law_02-08-2002.ashx">http://www.fsma.be/~media/Files/fsmfiles/wetgeving/wet_loi/en/law_02-08-2002.ashx</a> |
|           |                                 | TRs           | (NA, see EU)          |   |  |
| Comments: |                                 |               |                       |   |  |

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|           |                                 | TRs           | (NA, see EU)          |   |  |
| Comments: |                                 |               |                       |   |  |

Belgium Level 1 assessment report – August 2013

|           | Principles/<br>Responsibilities | FMI<br>Type   | Rating                | Status description / Next steps   | Links to the measures  |
|-----------|---------------------------------|---------------|-----------------------|---|--|
| Belgium   | Principles                      | CCPs          | (4, see EU)           |   |  |
|           |                                 | PSSs          | (1, see EU/Euro area) |   |  |
|           |                                 | CSDs and SSSs | 4                     | National Bank of Belgium (NBB) published final new circular on 20 July 2012. The measure came into force on 20 July 2012. | NBB - Guidelines for the supervision and oversight of CSD/SSS<br><a href="http://www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf">www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf</a>  |
|           |                                 | TRs           | (4, see EU)           |   |  |
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